

**DECLARATION CLAIMING SMALL ENTITY STATUS
PURSUANT TO 37 CFR 1.9(f) and 1.27 (b)**

JOINT INDEPENDENT INVENTORS

As the below named joint inventors, we hereby declare that:

We qualify as independent inventors as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

APPARATUS AND METHOD FOR TRADING ELECTRICAL POWER

described in the specification filed August 18, 199, and assigned Serial No. 08/516,646.

We have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which we have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization.
☒ persons, concerns or organizations listed below*

***NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Intercoast Energy Company
666 Grand Avenue
Suite 2600
Des Moines, Iowa 50303

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Full name of first inventor: David Tuck
3021 Towneside Ln.
Woodstock, GA 30188

Inventor's signature: David Tuck

Date: 9-26, 1995

Residence:

Citizenship: United States of America

Full name of second inventor: Bruce Weir
4100 Green View Dr.
Urbandale, IA 50322

(next page)

Inventor's signature: _____

Date: _____, 1995

Residence:

Citizenship: United States of America

Full name of second inventor: Bruce Weir
4100 Green View Dr.
Urbandale, IA 50322

Inventor's signature: _____

Date: _____, 1995

Residence:

Citizenship: United States of America

Full name of third inventor: John Stojka 3-80
5308 Brooke Farm Dr.
Dunwoody, GA 30338 GA

Inventor's signature: 

Date: 9/26, 1995

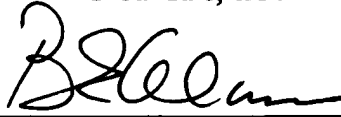
Residence:

Citizenship: United States of America
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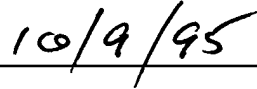
Full name of second inventor:

Bruce Weier
4100 Green View Dr.
Urbandale, IA 50322

Inventor's signature:



Date:



Residence:

Citizenship:

United States of America

DECLARATION AND POWER OF ATTORNEY

As a below named joint inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled:

APPARATUS AND PROCESS FOR TRADING ELECTRICAL POWER

the specification of which was filed on August 18, 1995 and assigned Serial No. 08/516,646.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability of this application as defined by Title 37, Code of Federal Regulations, § 1.56.

I hereby claim no foreign priority benefits under 35 U.S.C. § 119.

I hereby claim no benefit under Title 35, United States Code, § 120 of any United States application.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in § 1.56 which became available between the filing date of any prior application(s) and the national or PCT international filing date of this application.

I hereby appoint:

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WILLIAM D. HARRIS, Jr., Registration No. 19,243;
L. DAN TUCKER, Registration No. 22,670;
ROY W. HARDIN, Registration No. 28,304;
WILLIAM D. JACKSON, Registration No. 20,846;
HARRY J. WATSON, Registration No. 29,985;
GEORGE R. SCHULTZ, Registration No. 35,674;
DAVID W. CARSTENS, Registration No. 34,134;
KRISTIN K. JORDAN, Registration No. 37,859;
MICHAEL W. PIPER, Registration No. P39,800; and
CRAIG J. COX, Registration No. P39,643

all of the firm of HARRIS, TUCKER & HARDIN, P.C., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities under the Patent Cooperation Treaty.

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Atty. Docket No.: IECO B8157

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor: David Tuck 1-00
3021 Towneside Ln.
Woodstock, GA 30188 GA

Inventor's signature: David Tuck

Date: 9-26, 1995

Residence:

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Full name of second inventor: Bruce Weier 2-00
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Residence:

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Full name of third inventor: John Stojka
5308 Brooke Farm Dr.
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Inventor's signature: _____

Date: _____, 1995

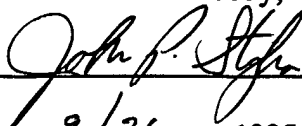
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Full name of third inventor:

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